

Syllabus of B.B.A., LL.B. (Hons.) 2022-23 Batch

COURSE-4.4 Financial Services

Objective :

To familiarize with concepts and developments in the field of financial services.

UNIT-1

Introduction: Financial Services-meaning, nature, scope, importance and classification of financial services; new financial products and services; growth of financial services in India; regulations of financial services. Introduction to Merchant Banking.

UNIT-2

Lease Financing: Meaning, features, types, advantages and disadvantages of Leasing. Leasing v/s Installment Sale, Leasing v/s Borrowing, Evaluation of Lease finance from lesser and lessee; tax, legal and accounting aspects; AS-19 on leasing.

UNIT-3

Depository Services and Credit rating: meaning, objectives and significance of depository services, origin and growth of depository services in India, a brief discussion on performance of NSDL and CSDL, Stock Broking services including SEBI guidelines. Credit Rating: meaning, objectives and significance of credit rating, process of credit rating of financial instruments.

UNIT-4

Mutual Funds and Venture Capital Financing: Meaning, objectives, importance and types of Mutual Funds; mutual funds in India- performance evaluation of mutual funds; SEBI regulations. Venture Capital Funds- Meaning, objectives and importance of venture capital financing; process and methods of venture capital financing; Indian venture capital scenario.

UNIT-5

Factoring: Meaning, objectives and types of factoring services; cost and benefit analysis of factoring services; factoring v/s bill discounting, factoring v/s forfeiting, origin and growth of factoring services in India; RBI committee recommendation on factoring.

Prescribed Books:

1. Financial Market and Services- Gordon and Natarajan Himalaya Publishing house.
2. Financial Services – Khan and Jain TMH Publishers
- 3.

Reference Books

1. Financial Services-Machiraju, Vikas Publishing House
2. Indian Capital Market- V.A. Avadhani Himalaya Publishing house
3. Merchant Banking and Financial Services- K. Ravichandran, Himalaya Publishing House